

Press Release, October 2, 2007

OMX Nordic Exchange Stockholm hands over investigations regarding Carnegie to the Disciplinary Committee

OMX Nordic Exchange Stockholm has today decided to hand over its investigations regarding Carnegie to the exchange's Disciplinary Committee. The investigations concern both Carnegie Investment Bank AB (the Bank) as a member of the exchange and D.Carnegie & Co AB (the Company) as a listed company. The exchange demands substantial fines in both cases.

The surveillance department at OMX Nordic Exchange Stockholm has in the beginning of 2007 found that the Bank on several occasions had entered into trades in a number of derivatives that affected the price setting in a way that appeared beneficial for the Bank's trading portfolio. After the exchange had notified the Bank about the situation and initiated an investigation, the Bank also conducted an investigation on its own. In connection to this, the Bank has, on two occasions, depreciated its trading result by a total of 630 million SEK.

The exchange has now decided to hand over its investigations regarding both the Bank and the Company to the exchange's Disciplinary Committee. Regarding the Bank, the matter concerns the Bank having entered orders and executed trades on the derivatives markets in a principal capacity, in order to either affect the valuation of the Bank's proprietary trading positions or to conceal overestimations in the portfolio. Regarding the Company, the matter concerns the Company having disclosed misstatements to the market about its result in the annual report for the year 2005 and 2006, and in the quarterly report in the first quarter 2007.

The exchange finds Carnegie's infringements very serious and demands that the Disciplinary Committee decide on substantial fines for the Bank and the Company.

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EFFICIENT SECURITIES TRANSACTIONS



About the Disciplinary Committee | The role of OMX Nordic Exchange in Stockholm's Disciplinary Committee is to consider suspicions regarding whether Exchange Members, brokers or listed companies have breached the rules and regulations applying on the Exchange. If the Exchange suspects that a member, broker or listed company has acted in breach of the Exchange's rules and regulations, the matter is reported to the Disciplinary Committee. The Exchange investigates the suspicions and pursues the matter and the Disciplinary Committee issues a ruling regarding possible sanctions. The sanctions possible for listed companies are a warning, a fine or delisting. The fines that may be imposed range from one to 15 annual fees. The sanctions possible for Exchange Members are a warning, a fine or debarment, while brokers may be warned or have their brokerage license rescinded. The Disciplinary Committee's Chairman and Deputy Chairman must be lawyers with experience of serving as judges. At least two of the other members of the Committee must have in-depth insight into the workings of the securities market.

Members: Supreme Court Justice Johan Munck (Chairman), Supreme Court Justice Marianne Lundius (Deputy Chairman), Madeleine Leijonhufvud (professor), Stefan Erneholm (company director) and Hans Mertzig (company director). Deputy Members: Hans Edenhammar (MBA), Claes Beyer (lawyer), Jack Junel (company director), Ragnar Boman (MBA) and Carl Johan Högbom (MBA).

About OMX | OMX is a leading expert in the exchange industry. The OMX Nordic Exchange comprises over 800 companies including its alternative market First North. OMX provides technology to over 60 exchanges, clearing organizations and central securities depositories in over 50 countries. The Nordic Exchange is not a legal entity but describes the common offering from OMX exchanges in Helsinki, Copenhagen, Stockholm, Iceland, Tallinn, Riga, and Vilnius. OMX is a Nordic Large Cap company in the Financials sector on the OMX Nordic Exchange. For more information, please visit www.omxgroup.com.